

## Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Sequence, Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on October 9, 2002.

Signed: Joyce Abriam Printed: Joyce Abriam

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Tang et al.

Title: NEURON-ASSOCIATED PROTEINS

Serial No.: 09/857,826

Filing Date: To Be Assigned

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

Box Sequence  
Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

TRANSMITTAL FEE SHEET

Sir:

Transmitted herewith are the following for the above-identified application:

1. Return Receipt Postcard;
2. Response to Notification of Defective Response (2 pp.);
3. Copy of the Notification of Defective Response dated 09/09/2002 (7 pp.);
4. Substitute Submission Under 37 CFR §1.821-1.825 Sequence Listing (1 pp.);
5. Paper copy of the Substitute Sequence Listing (53 pp.);
6. One (1) CRF diskette containing the Substitute Sequence Listing; and
7. Certificate under 37 C.F.R. §3.73(b), Revocation of Power of Attorney and Appointment of New Attorneys (2 pp.).

The fee has been calculated as shown below:

X No additional Fee is required.

       Please charge Deposit Account No. **09-0108** in the amount of : \$           

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 9 Oct 2002

Diana Hamlet-Cox  
Diana Hamlet-Cox

Reg. No. 33,302

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Commissioner for Patents, PO Box 2327  
Arlington, VA 22202

**RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE**

Sir:

In response to the "Notification of Defective Response" mailed by the United States Patent and Trademark Office on September 9, 2002, (copy attached), Applicants submit the documents listed below to complete the filing for the above-identified patent application.

1. Substitute Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.);
2. One (1) Substitute CRF diskette containing the Substitute Sequence Listing; and
3. Paper Copy of the Substitute Sequence Listing (53 pp.).

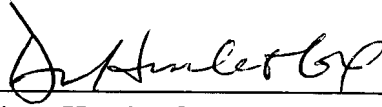
The Applicants believe that no fee is due with this communication. However, if the Commissioner determines that additional fees are due or that an excess fee has been paid, the Patent Office is authorized to debit or credit (respectively) Deposit Account No. 09-0108.

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: \_\_\_\_\_

*9 Oct 2002 -*



\_\_\_\_\_  
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SEP 17 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box FCI  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/857,826	y. Tom Tang	PF-0637 USN

INTERNATIONAL APPLICATION NO.
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PCT/US99/30408

I.A. FILING DATE	PRIORITY DATE
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12/10/1999

Dian Hamlet Cox  
Incyte Genomics  
3160 Porter Drive  
Palo Alto, CA 94304



CONFIRMATION NO. 2342

371 FORMALITIES LETTER



\*OC00000008746411\*

Date Mailed: 09/09/2002

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - APPLICANT MUST PROVIDE:
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

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- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAYA L LEWIS BALTIMORE

Telephone: (703) 305-3695

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/857,826	PCT/US99/30408	PF-0637 USN